

Reproducibility in natural language processing: What we need to know

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Introduction

The philosopher of science Hans Radder put it well: in order to know whether or not an experiment has been reproduced, we first need to know what was actually done¹. But, case studies have demonstrated that it is not always intuitively obvious what exactly *needs* to be reported about a natural language processing experiment². Furthermore, work by Olorisade et al.³ has demonstrated that this is not always clear even for a relatively constrained subfield, such as text mining research. When we begin to think about the fundamental issue of generalizability in reproducibility, the question becomes even more complicated, and the answers probably much more nuanced⁴.

To address the basic question of *what was actually done in this natural language processing experiment?*, we take an approach based in the FAIR Principles for managing the products of scientific research⁵. The FAIR Principles propose that products of research, including publications and data, be **F**indable, **A**ccessible, **I**nteroperable, and **R**eusable. For publications, this suggests that a paper should be retrievable and analyzable by the semantics of its contents, and not only by search on the words with which that content is written. Although there are alternatives, it is a good choice because of its wide acceptance in biomedical research (see the reviews in⁶ and⁷; at the time of writing, the original paper has been cited over 4500 times).

The goals of retrievability and analyzability suggest an ontology or terminology to which concepts mentioned in papers can be normalized. Consequently, we propose here a two-part schema for representing a natural language processing paper as a collection of metadata. The first part models a paper itself. The approach to this is frame-based and is inspired by previous work in the domains of biomedical research publishing^{8–10} and of standards for the reporting of experiments^{11–13}. The second part is an ontology of slot-filters for that frame. Because community consensus is essential to the adoption of any such representation of scientific work⁵, our overall approach includes a significant amount of solicitation of feedback from a diverse cross-section of the natural language processing community. Additionally, we tested the coverage of the ontology using frequency-based methods applied to the language processing and text mining literature from two relatively distinct communities—biomedical text mining, and the Association for Computational Linguistics family of conferences.

Materials and Methods: The representation of a paper consists of a frame with slots for the following four items:

1. Topic: what is the paper primarily *about*?
2. Method: what was *done*?
3. Data: what kind of *material* was used?
4. Evaluation: *how* was the work evaluated, question answered, or hypothesis tested?

The slot fillers form an ontology structured by the typical relations, i.e. is-a and has-part, and a few novel ones.

In order to minimize subjectivity, the first draft of the ontology was constructed based on the indexes and tables of contents of popular language processing textbooks. Definitions were taken from open-source materials, including the primary literature and Wikipedia, and reviewed by a lexicographer. The overall model of papers, as well as the ontology of slot-filters for describing them, was evaluated in two ways: by quantitative comparison to frequency and terminological analyses of the literature, and by solicitation of feedback from researchers in the field.

Quantitative evaluation: We analyzed over 9,000 PubMed-indexed natural language processing and text mining papers. The Sketch Engine terminology extraction tools¹⁴ generated a silver standard for evaluation of coverage.

Expert feedback: We did initial annotations of the complete sets of PubMed-indexed publications of several authors. We then met with them individually, and they corrected the metadata that we assigned to their papers.

Topic	Method	Data	Evaluation	Paper title
Named entity recog.	HMM	Journal articles	Shared task	BioC Task1A: Finding NEs with a stochastic tagger
Text classification	SVM	Clinic notes	Gold standard	Predicting pediatric epilepsy surgery candidates
Summarization	Rule-based	Journal articles	Gold standard	Finding GeneRIFs via Gene Ontology annotations
Corpus	Distributional	Journal articles	Hypothesis testing	Text in traditional and Open Access scientific journals

Table 1: High-level annotations for four typical biomedical natural language processing papers.

Results: The ontology currently contains 390 unique concepts and several relation types. Table 1 shows the high-level metadata for four typical papers. Meetings with individual authors led to improvements in the granularity of the representation. The initial overlap after manual filtering of terminology extraction errors was 48%. Most missing concepts were very domain-specific, such as *electronic health record* and *biomedical text*.

Conclusion: Thanks to the combination of methodologies, this work has resulted in an ontology for the representation of natural language processing papers that is both empirically supported by quantitative data, and vetted by members of the natural language processing community.

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